PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO				Complete if Known		
31	rostitute for form 1449/F10			Application Number	To Be Assigned	
n n	NFORMATION	DI	SCI OSIME	Filing Date_	February 11, 2004	
				First Named Inventor	Mihel Seitz	
S	TATEMENT BY	ΥA	PPLICANT	Art Unit	To Be Assigned	
(Use as many sheets as necessary)				Examiner Name	To Be Assigned	
Sheet	1	of	2	Attorney Docket Number	1115747-0002//2002P50545US	

			S. PATENT DOC		
Examiner Initials	Cite No.1	Document Number Number-Kind Code ^{2 (f/brown)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
ah		US-5,229,316	07-20-1993	Lee et al.	
al		US-6,339,241 B1	01-15-2002	Mandelman et al.	
		US-			,
		US-			,
		US-			
	-	US-			
		US-			

Examiner	Cite	Foreign Patent Document	N PATENT DOC Publication	Name of Patentee or	Pages, Columns, Lines,	Γ-
Initials*	No.1	Country Code ³ Number ⁴ Kind Code ³ (If known)	Date MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages Or Relevant Figures Appear	Т
						Ļ
						┝
						C
			i			Г

Examiner		Date	
Signature	and Muy D	Considered	08/11/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ¹See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ¹Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ¹For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37. CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37. CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORM TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199. (1-800-786-9199) and select option 2.

PTO/SB/08B (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Sut	ostitute for form 1449/	РТО		Comp	Complete if Known		
TN	NFORMATI	ON DIS	TOSIDE	Application Number	To Be Assigned		
1	TATEMEN'			Filing Date	February 11, 2004		
	(Use as mar	y sheets as nec	essary)	First Named Inventor	Mihel Seitz		
			·	Art Unit	To Be Assigned		
			•	Examiner Name	To Be Assigned		
Sheet	2	of	2	Attorney Docket Number	1115747-0002//2002P50545US		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ² .
al	·	U. GRUENING, et al, "A Novel Trench DRAM Cell with a VERtIcal Access Transistor and BuriEd STrap (VERI BEST) for 4Gb/16Gb," 0-7803-5413-3/99, 1999 IEEE, pp. 1-4.	
ak		W. NEUMUELLER, et al., "DRAM Technology For Today's Market And Future DRAM Generations," pp. 1-8.	
ah		C.J. RADENS, "An Orthogonal 6F ² Trench-Sidewall Vertical Device Cell for 4Gb/16Gb DRAM," 0-7803-6441-4/00 2000 IEEE, pp. 1-4.	
,			

Examiner		Date	-51 + -
Signature	andy huy	Considered	08/11/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.